

PCTWORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

9183M8

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶: A01N 55/02, A61K 7/06, 7/07, 7/075, B01J 13/00, C07F 1/08	A1	(11) International Publication Number: WO 98/47372 (43) International Publication Date: 29 October 1998 (29.10.98)
(21) International Application Number: PCT/US98/06917 (22) International Filing Date: 7 April 1998 (07.04.98) (30) Priority Data: 60/044,629 18 April 1997 (18.04.97) US 038,026 11 March 1998 (11.03.98) US (71) Applicant: OLIN CORPORATION [US/US]; 350 Knotter Drive, P.O. Box 586, Cheshire, CT 06410-0586 (US). (72) Inventors: HANI, Rahim; 51 Roxbury Court, Cheshire, CT 06410 (US). POLSON, George, A.; 131 Campville Hill Road, Harwinton, CT 06791 (US). (74) Agents: CARLSON, Dale, Lynn et al.; Wiggin & Dana, One Century Tower, New Haven, CT 06508-1832 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: IN-SITU GENERATION OF ZINC PYRITHIONE		
(57) Abstract Disclosed is a personal care composition comprising: (a) water or an alcohol, (b) at least one dispersant or surfactant, and (c) as an antimicrobial or preservative additive, particles of an in-situ transchelation reaction product of a zinc compound selected from the group consisting of zinc salts of organic acids, zinc salts of inorganic acids, zinc hydroxide, zinc oxide, and combinations thereof, said zinc compound being soluble in said water or alcohol, with pyrithione acid or a pyrithione salt that is soluble in said water or alcohol. Also disclosed is a process for preparing the personal care composition, and a coated substrate containing a coating of the personal care composition.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

IN-SITU GENERATION OF ZINC PYRITHIONE

5 This invention relates generally to zinc
pyrithione-containing personal care compositions,
and more particularly to methodology for preparing
these compositions using in-situ transchelation of a
soluble pyrithione salt with pyrithione acid or a
10 soluble pyrithione salt.

Sodium pyrithione (also called the sodium salt
of 1-hydroxy-2-pyridinethione, sodium pyridine-2-
thiol-N-oxide, or 2-pyridinethiol-1-oxide, Na salt)
has excellent antimicrobial properties, and is a
15 well-known commercial product typically employed as
a biocide and preservative in functional fluids,
such as metalworking fluids, lubricants, cosmetics
and toiletries. Sodium pyrithione is commonly made
by reacting 2-chloropyridine-N-oxide with NaSH and
20 NaOH, as disclosed, for example, in U.S. Patent No.
3,159,640.

Likewise, zinc pyrithione [also known as zinc
pyridine-2-thiol-N-oxide or bis [1-hydroxy-2(H)
pyridinethionato]-zinc] is a broad-spectrum anti-
25 microbial agent and preservative in metalworking
fluids, plastics, paints, adhesives and cosmetics.
One of the principal applications for zinc
pyrithione is its use as an anti-dandruff agent in
shampoo.

30 Zinc pyrithione is typically made at the sodium
pyrithione production plant by reacting sodium

- 2 -

pyrithione with a zinc salt, such as zinc sulfate, to form a zinc pyrithione precipitate, as disclosed, for example in U.S. Patent No. 2,809,971, which is typically washed and then dispersed in water to form an aqueous dispersion of zinc pyrithione in water. This conversion of sodium pyrithione to form the zinc pyrithione dispersion at the pyrithione manufacturing plant is labor intensive. Moreover, the resulting zinc pyrithione dispersion is subject to settling problems, inasmuch as the zinc pyrithione tends to physically settle out of the dispersion during shipment or storage prior to use of the dispersion. This settling problem necessitates mixing of the dispersion by the manufacturer of personal care compositions containing the dispersion in order to insure homogeneity of the dispersion in the composition. In addition, the settling problem can result in "caking" of the zinc pyrithione in the bottom of the drum in which it is stored and shipped, particularly when this product is stored for several months or subjected to wide fluctuations in ambient temperature.

In view of the above, it should be clear that there is a need for improved methodology for preparing zinc pyrithione-containing personal care compositions without risking the zinc pyrithione dispersion settling problems encountered heretofore. The present invention provides one solution to this need.

- 3 -

In one aspect, the present invention relates to a process for preparing a zinc pyrithione-containing personal care composition which comprises reacting, in a personal care composition, pyrithione acid or a
5 pyrithione salt other than zinc pyrithione, or a combination thereof, with a zinc compound that is soluble in the personal care composition, said zinc compound being selected from the group consisting of zinc salts of organic acids, zinc salts of inorganic
10 acids, zinc hydroxide, zinc oxide, and combinations thereof, said zinc compound being soluble in the personal care composition, thereby causing in-situ transchelation of the pyrithione salt with the zinc salt to form said zinc pyrithione-containing
15 personal care composition. Pyrithione salts useful as reactants in this process include magnesium pyrithione, strontium pyrithione, copper pyrithione, zinc pyrithione, cadmium pyrithione, zirconium pyrithione, and combinations thereof. Zinc salts
20 useful as reactants in this process include zinc sulfate, zinc chloride, zinc acetate, and combinations thereof.

In another aspect, the present invention relates to a process for preparing a zinc
25 pyrithione-containing personal care composition selected from the group consisting of shampoo, soap, skin care medicament, and combinations thereof, said process comprising contacting water or an alcohol with at least one surfactant and with a
30 transchelation product produced by reacting

- 4 -

pyrithione acid or a pyrithione salt that is soluble in the personal care composition, or a combination thereof, with a zinc compound selected from the group consisting of zinc salts of organic acids, zinc salts of inorganic acids, zinc hydroxide, zinc oxide, and combinations thereof, said zinc compound being soluble in the personal care composition.

In yet another aspect, the present invention relates to a personal care composition comprising:

- 10 (a) water or an alcohol,
- (b) at least one dispersant or surfactant, and
- (c) as an antimicrobial or preservative additive, particles of an in-situ transchelation reaction product of a zinc compound selected from
- 15 the group consisting of zinc salts of organic acids, zinc salts of inorganic acids, zinc hydroxide, zinc oxide, and combinations thereof, said zinc compound being soluble in said water or alcohol, with
- pyrithione acid or a pyrithione salt that is soluble
- 20 in said water or alcohol. Preferably, component (a) is present in said personal care composition in an amount of from about 5% to about 70%, component (b) is present in an amount of from about 2% to about 50%, and component (c) is present in an amount of
- 25 from about 0.1% to about 2%, based upon the weight of said personal care composition, with the proviso that the total of said component (a) plus said component (b) plus said component (c) does not exceed 100%. The reaction product is suitably in
- 30 the form of solid particles in the personal care

- 5 -

composition, and these solid particles are advantageously amorphous or crystalline particles in a shape selected from the group consisting of rods, needles, cubes, platelets, and combinations thereof.

5 In still another aspect, this invention relates to a coated substrate comprising a substrate selected from the group consisting of skin, hair and combinations thereof, and a coating on said substrate, said coating comprising particles of zinc
10 pyrithione, said particles being produced by an in-situ transchelation reaction of pyrithione acid or a soluble pyrithione salt with a zinc compound selected from the group consisting of zinc salts of organic acids, zinc salts of inorganic acids, zinc
15 hydroxide, zinc oxide, and combinations thereof.

These and other aspects will become apparent upon reading the following detailed description of the invention.

It has now been surprisingly found in
20 accordance with the present invention that the in situ formation of zinc pyrithione, either in a personal care composition or in a precursor component of the personal care composition, provides several advantages over the prior art use of
25 preformed zinc pyrithione in these formulations. First, the present invention overcomes the above-discussed settling problem associated with aqueous dispersions of zinc pyrithione, since the zinc pyrithione is formed in situ in the personal care
30 composition. The in situ-formed zinc pyrithione

- 6 -

particles are found to be stable in the personal care composition. These compositions (e.g., liquid, gel or creme shampoos, liquid, gel or creme skin care medicaments, and liquid, gel or creme soaps) typically contain one or more surfactants, and have a relatively high viscosity. This high viscosity and the presence of surfactant(s) insure that the in situ prepared zinc pyrithione is stable against settling in the personal care composition. Second, shipment of a pyrithione solution (e.g., aqueous sodium pyrithione) to the personal care product manufacturer eliminates the likelihood of a freezing/settling problem that exists when shipping zinc pyrithione dispersion to the personal care product manufacturer. Third, in view of the high solubility of sodium pyrithione in water and in alcohol, relative to the much lower solubility of zinc pyrithione in these fluids, the sodium pyrithione can be shipped in higher concentrations in these fluids, as compared to zinc pyrithione. For example, a solution of up to 50% by weight of sodium pyrithione in water is suitably prepared and utilized in the process and composition of the present invention, whereas commercial dispersions of aqueous zinc pyrithione typically require 60% by weight of water, thus allowing for only 40% by weight of zinc pyrithione. This enables the pyrithione manufacturer to ship less water to the personal care composition manufacturer, thus saving on shipping costs.

- 7 -

The reactants employed to prepare zinc pyrithione in situ in the personal care composition, in accordance with the present invention, are suitably selected to provide not only the zinc pyrithione, but also a thickening agent for the personal care composition. By way of illustration, sodium chloride is commonly used as a thickening agent in personal care compositions. By selecting zinc chloride and sodium pyrithione for in-situ transchelation in the personal care composition, or in its precursor, to form the desired zinc pyrithione, sodium chloride is also formed, providing the added bonus of a thickening agent in the composition. Other useful thickening agents that are produced in situ in an analogous fashion include ammonium chloride and sodium sulfate. On the other hand, if it is desired to have no salt, other than zinc pyrithione, incorporated into the personal care composition by virtue of the transchelation reaction, then pyrithione acid and zinc oxide or zinc hydroxide are suitably employed as the transchelation reactants. These reactants produce only zinc pyrithione and water as reaction products.

25 The zinc compound used as a transchelation reactant in accordance with the present invention is suitably selected from the group consisting of zinc salts of organic acids, zinc salts of inorganic acids, zinc hydroxide, zinc oxide, and combinations thereof, with the proviso that the zinc compound is

- 8 -

soluble in the personal care composition.

Illustrative zinc salts include zinc chloride, zinc sulfate, zinc acetate, and combinations thereof.

Zinc chloride is preferred. The term "soluble in
5 the personal care composition" is intended to denote that the zinc compound is soluble in the personal care composition in an amount of at least 100 ppm, preferably at least 1000 ppm.

The pyrithione reactant used in the process and
10 composition of this invention is suitably a pyrithione salt, such as sodium pyrithione, potassium pyrithione, lithium pyrithione, chitosan pyrithione, magnesium disulfide pyrithione, ammonium pyrithione, combinations thereof, and the like, or
15 pyrithione acid, or a combination thereof. The preferred pyrithione salt is sodium pyrithione.

Although the molar ratio of the pyrithione reactant relative to the zinc compound is not narrowly critical, it is preferred that between 1
20 and 1.5 moles of zinc compound be employed per 2 moles of pyrithione used. The amount of pyrithione acid or water-soluble salt of pyrithione that is soluble in the transchelation reaction mixture can vary over a wide range. A preferred amount of
25 pyrithione or water-soluble pyrithione salt is from about 1% to about 50% by weight, based upon the total weight of the reaction mixture.

Useful reaction media for the transchelation reaction of the present invention include water,
30 organic solvents, and combinations thereof. Useful

- 9 -

organic solvents include alcohols, such as methanol, ethanol, amines such as diethanolamine, ethers, esters, and the like.

Additional materials, such as dispersants, dispersant/surfactant blends, and the like may be added to the reactants either before, or during, the precipitation reaction to prevent agglomeration of the pyrrhione salt particles formed by virtue of the reaction, if desired. Alternatively or additionally, a dispersant or other dispersing agent providing dispersing characteristics to the particles of pyrrhione salt formed during the transchelation reaction, may be added at the completion of the reaction to prevent particle agglomeration. Exemplary dispersants include sodium salts of polymerized alkyl naphthalene sulfonic acids such as "DARVAN" (R.T. Vanderbilt), "DEMOL N" (Kao Chemicals), "DAXAD 11" (Hampshire Chemicals), or "TAMOL N" (Rohm and Haas).

The dispersant is suitably used alone or in combination with a surfactant. Suitable surfactants include linear alcohol alkoxylates, such as the linear alcohol ethoxylates, ethoxylated/propoxylated block copolymers, ethoxylated/propoxylated fatty alcohols, and polyoxyethylene cetyl ethers, and the like. If desired, the alcohol alkoxylate is suitably end-capped with a lower alkyl group, and such a product is commercially available as POLY-TERGENT® SLF-18 available from Olin Corporation.

- 10 -

Useful anionic surfactants, that are suitably employed in the compositions of the present invention, include alkyl diphenylether disulfonates, alkyl phenyl ethoxylated phosphate esters, 5 carboxylated linear alcohol alkoxyates, linear alkyl benzene sulfonic acid, diisobutyl sulfosuccinate, and alkyl sulfonates.

Other useful anionics are polycarboxylated alcohol alkoxyates, preferably those selected from 10 the group consisting of the acids or organic or inorganic salts of the following: polycarboxylated linear alcohol alkoxyates, polycarboxylated branched alcohol alkoxyates, polycarboxylated cyclic alcohol alkoxyates, and combinations 15 thereof.

Illustrative cationic surfactants include alkyl triammonium halide, non-linear alkyl dimethyl halide and alkyl dimethyl benzyl ammonium halide-containing surfactants. Illustrative amphoteric dispersants 20 include polyglycol ether derivatives, ethoxylate oxazolin derivatives, lauramidopropyl betain and lecithin.

As will be appreciated by those skilled in the art, suitable blends can be employed in the process 25 of the present invention based on various combinations of the above-described surfactants. The dispersant or dispersant/surfactant blend is preferably employed in a total amount of between about 0.05 and 10%, more preferably between about 30 0.1 and 5%, most preferably between about 0.5 and

- 11 -

about 1.5% by weight, based on the total weight of the reaction mixture.

The personal care compositions of the present invention suitably also contain thickening agents. Illustrative thickening agents include cellulose derivatives, for example methyl, hydroxyethyl, hydroxypropyl and carboxymethyl cellulose, poly(vinyl alcohol), poly (vinylpyrrolidone), poly(ethyleneglycol), salts of poly(acrylic acid) and salts of acrylic acid/acrylamide copolymers.

In order to increase the stability against freezing, electrolytes there may be included in the personal care composition. Suitable electrolytes include monomers, such as 1,2-diols, for example glycol, 1,2-propylene glycol and 1,2-butyleneglycol, or polymers thereof, or ethoxylated compounds. For example reaction products of ethylene oxide with long-chain alkanols, amines, alkyl phenols, poly(propyleneglycol), or poly(butyleneglycol), or a combination thereof, or the like.

The minimum temperature of film formation may be reduced by adding solvents, such as ethyleneglycol, butyl glycol, ethyl glycol acetate, ethyl diglycol acetate, butyl diglycol acetate, benzene or alkylated aromatic hydrocarbons. As defoaming agents there are suitable for example poly(propylene glycol) and polysiloxanes. Optionally other antimicrobial additives can additionally be incorporated into the personal care compositions of

- 12 -

the present invention. Illustrative of such other antimicrobial additives are polymyxin E, polymyxin B, lower alkyl esters of para-hydroxybenzoic acid (so-called "parabens"), salts thereof, and combinations thereof. These optional other antimicrobial additives are suitably employed in a weight ration of from 1 to 10,000 to 10,000 to 1, based upon the amount of pyrithione employed in the personal care composition. Useful optional solvents include methylisobutylketone (herein referred to as "MIBK"), xylene, ethyl benzene, methanol, and combinations thereof.

The temperature employed in the transchelation reaction in accordance with the present invention is suitably from about 0°C and about 100°C, preferably from about 20°C and about 90°C. The pressure employed in the reaction is suitably between one and two atmospheres, although higher pressures may be employed if desired. Advantageously, this reaction can be run at room temperature (i.e., 20°C to 25°C), and atmospheric pressure.

The personal care compositions prepared in accordance with the present invention to contain dispersed particles of zinc pyrithione are suitably employed in any of a variety of personal care applications, in a liquid or spreadable solid form, alone or in combination with an inert carrier such as water, liquid hydrocarbons, ethanol, isopropanol, or the like. They can be employed using conventional procedures to control bacteria and

- 13 -

fungi on substrates such as the skin or hair, and can be applied in an antimicrobial amount by conventional procedures such as spraying, dipping, drenching impregnation, and the like. On the

5 substrate, as well as in the personal care composition, the particles of zinc pyrithione suitably have a shape selected from the group consisting of platelets, rods, needles, cylinders, cones, ellipsoids, prisms, parallelepipeds,

10 pyramids, cubes, and combinations thereof. These particles of zinc pyrithione are suitably crystals having a configuration selected from triclinic, monoclinic, orthorhombic, tetragonal, cubic, trigonal, hexagonal now have a shape selected from

15 the group consisting of platelets, rods, needles, cylinders, cones, ellipsoids, prisms, parallelepipeds, pyramids, cubes, and combinations thereof.

Illustrative examples of typical shampoo

20 formulations follow: A typical antidandruff shampoo comprises the following:

- (a) Water in an amount of 43.8%
- (b) Zinc pyrithione in an amount of 3%
- (c) Cocamide DEA in an amount of 1%
- 25 (d) Triethanolamine lauryl sulfate in an amount of 40%

A typical premium antidandruff shampoo comprises the following:

- (a) Deionized water in an amount of 76%

- 14 -

(b) Di(hydrogenated) tallow phthalic acid
amide in an amount of 5%

(c) Preservative

(d) Citric acid, 50% aq soln OR sodium
5 hydroxide (50% soln)

(e) Ammonium chloride

A typical antidandruff shampoo with Conditioner
is the following:

(a) Deionized water in an amount of 76%

10 (b) Di(hydrogenated) tallow phthalic acid
amide in an amount of 5%

(c) Preservative

(d) Citric acid, 50% aq soln. OR sodium
hydroxide (50% soln)

15 (e) Ammonium chloride

Another typical antidandruff shampoo with
conditioner is the following:

(a) Deionized water in an amount of 23.85%

(b) Sodium laureth sulfate in an amount of 30%

20 (c) Tricetylammonium chloride in an amount of
0.50%

(d) Cocamide MEA in an amount of 1.70%

(e) Preservative in an amount of 0.05%

(f) Citric acid, 25% aqueous solution

25 An "extra body" antidandruff shampoo is the
following:

(a) Deionized water in an amount of 64.6%

(b) Methyl Paraben in an amount of 0.30%

(c) Triethanolamine lauryl sulfate in an
30 amount of 20%

- 15 -

(d) Cocodimonium hydrolyzed animal protein in an amount of 1%

(e) FD&C Blue No. 1

(f) Citric acid, 50% aq soln.

5 The following examples are intended to illustrate, but in no way limit the scope of, the present invention. Unless otherwise stated, the "parts" and "%" are "parts by weight" and "percent by weight", respectively.

10

EXAMPLE 1

Transchelation of Zinc Chloride with Sodium
Pyrithione In-situ in a Shampoo to produce Zinc
15 Pyrithione Particles in Cube Form in the Shampoo

A sample of a commercial shampoo of the two-in-one shampoo-and-conditioner type was gently heated to about 60°C with constant stirring. The shampoo
20 contained the following ingredients in the approximate amounts specified in weight percent based upon the weight of the shampoo: about 40% of water, about 20%-30% of ammonium laureth sulfate surfactant, about 15% of ammonium lauryl sulfate
25 surfactant, glycol distearate, dimethicone, fragrance, ammonium xylenesulfonate, about 3% of cocamide MEA foam stabilizer, from 2% to 4% of cetyl alcohol and stearyl alcohol, about 0.5% of polyquarternium-10 conditioner, about 1% mineral oil
30 lubricant, sodium phosphate, stearyl alcohol, about

- 16 -

0.5% of DMDM hydantoin preservative, about 1% of sodium phosphate and disodium phosphate buffer, sodium chloride thickener, and FD&C yellow no. 10 and FD&C blue no.1. An aqueous solution of zinc chloride was mixed into the heated shampoo. With stirring, an aqueous solution of the sodium salt of 2-mercaptopyridine-N-oxide was added over a period of 30 minutes. The stoichiometry of the reactants was such that there was a slight excess of zinc chloride. The mixture was stirred to ensure homogeneity and cooled to ambient temperature. A sample of the shampoo with in situ prepared zinc salt of 2-mercaptopyridine-N-oxide was analyzed the particle size and shape of the crystals formed by scanning electron microscopy (SEM). The particles were found to be cubes having dimensions of about 5 by 5 by 5 microns.

EXAMPLE 2

20

Transchelation of Zinc Chloride with Sodium Pyrithione In-situ in a Shampoo to produce Zinc Pyrithione Particles in Platelet Form in the Shampoo

25 32 g of hydroxypropyl methylcellulose suspending agent was mixed in water (198 ml) and heated to 70°C for 30 minutes. Zinc chloride (3.4 g) was added to the above mixture. The sodium salt of 2-mercaptopyridine-N-oxide (18.6 g) was added to
30 the above mixture over a period of 5 min. and

- 17 -

stirred at 70°C. In a separate container, melted cocoamide DEA was added a mixture of triethanolamine lauryl sulfate, triethanolamine, FD&C yellow no. 5, FD&C blue no. 1 and ethylene glycol distearate. The
5 two mixtures were stirred together at 1500 rpm and the temperature was slowly brought down to ambient. A portion of the shampoo thus formed was analyzed for size and shape of the in-situ generated zinc 2-mercaptopyridine-N-oxide particles. Scanning
10 electron microscopy of the particles showed that these particles had a platelet shape. The median particle size obtained by laser light scattering showed that the particles were present in the form of platelets having a median particle size of 3 μm ,
15 with a distribution of particle sizes in the range of from 0.7 to 10 microns.

While the invention has been described above with references to specific embodiments thereof, it is apparent that many changes, modifications and
20 variations can be made without departing from the inventive concept disclosed herein. Accordingly, it is intended to embrace all such changes, modifications and variations that fall within the spirit and broad scope of the appended claims.

- 18 -

WHAT IS CLAIMED IS:

1. A process for preparing a zinc pyrithione-containing personal care composition which is
5 characterized by reacting, in a personal care composition, pyrithione acid or a pyrithione salt other than zinc pyrithione, or a combination thereof, with a zinc compound that is soluble in the personal care composition, said zinc compound being
10 selected from the group consisting of zinc salts of organic acids, zinc salts of inorganic acids, zinc hydroxide, zinc oxide, and combinations thereof, thereby causing in-situ transchelation of the pyrithione salt with the zinc salt to form said zinc
15 pyrithione-containing personal care composition.

2. The process of claim 1 characterized in that said personal care composition is a shampoo comprising water or an alcohol, and at least one
20 surfactant.

3. The process of claim 1 characterized in that said personal care composition is a soap comprising water or an alcohol, and at least one
25 surfactant.

- 19 -

4. The process of claim 1 characterized in that said personal care composition is a skin care medicament comprising water or an alcohol, and at least one surfactant.

5

5. The process of claim 1 characterized in that said zinc salt is selected from the group consisting of zinc sulfate, zinc chloride, zinc acetate, and combinations thereof.

10

6. The process of claim 1 characterized in that said pyrithione salt is selected from the group consisting of magnesium pyrithione, strontium pyrithione, copper pyrithione, zinc pyrithione, cadmium pyrithione, zirconium pyrithione, and

15

combinations thereof.

- 20 -

7. A process for preparing zinc pyrithione-containing personal care composition selected from the group consisting of shampoo, soap, skin care medicament, and combinations thereof, said process
5 being characterized by contacting water or an alcohol with at least one surfactant and with a transchelation product produced by reacting pyrithione acid, or a pyrithione salt that is soluble in the personal care composition, or a
10 combination thereof, with a zinc compound selected from the group consisting of zinc salts of organic acids, zinc salts of inorganic acids, zinc hydroxide, zinc oxide, and combinations thereof, said zinc compound being soluble in the personal
15 care composition.

8. The process of claim 7 characterized in that said zinc salt is selected from the group consisting of zinc sulfate, zinc chloride, zinc
20 acetate, and combinations thereof.

9. The process of claim 7 characterized in that said pyrithione salt is selected from the group consisting of magnesium pyrithione, strontium pyrithione, copper pyrithione, zinc pyrithione,
25 cadmium pyrithione, zirconium pyrithione, and combinations thereof.

- 21 -

10. A personal care composition characterized by containing:

- (a) water or an alcohol,
- (b) at least one dispersant or surfactant, and
- 5 (c) as an antimicrobial or preservative additive, particles of an in-situ transchelation reaction product of a zinc compound selected from the group consisting of zinc salts of organic acids, zinc salts of inorganic acids, zinc hydroxide, zinc
- 10 oxide, and combinations thereof, said zinc compound being soluble in said water or alcohol, with pyrithione acid or a pyrithione salt that is soluble in said water or alcohol.

11. The personal care composition of claim 10 characterized in that said zinc salt is selected from the group consisting of zinc sulfate, zinc chloride, zinc acetate, and combinations thereof.

12. The personal care composition of claim 10 characterized in that said pyrithione salt is selected from the group consisting of magnesium pyrithione, strontium pyrithione, copper pyrithione, zinc pyrithione, cadmium pyrithione, zirconium

25 pyrithione, and combinations thereof.

- 22 -

13. The personal care composition of claim 10 characterized in that said component (a) is present in said personal care composition in an amount of from about 5% to about 70%, wherein said component
5 (b) is present in an amount of from about 2% to about 50%, and wherein said component (c) is present in an amount of from about 0.1% to about 2%, based upon the weight of said personal care composition, with the proviso that the total of said component
10 (a) plus said component (b) plus said component (c) not exceed 100%, and wherein said reaction product is in the form of solid particles in said personal care composition, said solid particles being amorphous or crystalline particles in a shape
15 selected from the group consisting of rods, needles, cubes, platelets, and combinations thereof.

14. The personal care composition of claim 10 characterized in that said surfactant comprises sodium lauryl sulfate, sodium laureth sulfate, or a
20 combination thereof.

15. The personal care composition of claim 10 characterized in that said alcohol is selected from the group consisting of isopropyl alcohol, benzyl alcohol, ethanol, and combinations thereof.
25

- 23 -

16. A coated substrate comprising a substrate selected from the group consisting of skin, hair and combinations thereof, and a coating on said substrate, said coating characterized by containing
5 particles of zinc pyrithione, said particles being produced by an in-situ transchelation reaction of pyrithione acid or a soluble pyrithione salt with a zinc compound selected from the group consisting of zinc salts of organic acids, zinc salts of inorganic
10 acids, zinc hydroxide, zinc oxide, and combinations thereof.

17. The coated substrate of claim 16 characterized in that said zinc salt is selected from the group consisting of zinc sulfate, zinc
15 chloride, zinc acetate, and combinations thereof.

18. The coated substrate of claim 16 characterized in that said pyrithione salt is selected from the group consisting of magnesium
20 pyrithione, strontium pyrithione, copper pyrithione, zinc pyrithione, cadmium pyrithione, zirconium pyrithione, and combinations thereof.

19. The coated substrate of claim 16 characterized in that said particles of zinc
25 pyrithione have a shape selected from the group consisting of platelets, rods, needles, cylinders, cones, ellipsoids, prisms, parallelepipeds, pyramids, cubes, and combinations thereof.

- 24 -

20. The coated substrate of claim 16 characterized in that said particles of zinc pyrithione are crystals having a configuration selected from triclinic, monoclinic, orthorhombic, tetragonal, cubic, trigonal, hexagonal now have a shape selected from the group consisting of platelets, rods, needles, cylinders, cones, ellipsoids, prisms, parallelepipeds, pyramids, cubes, and combinations thereof.

10 21. The composition of claim 10 characterized by additionally containing a supplemental antimicrobial additive selected from the group consisting of polymyxin E, polymyxin B, lower alkyl esters of para-hydroxybenzoic acid, salts thereof, 15 and combinations thereof.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/06917

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A01N 55/02 L A61 K 7/06, 7/07, 7/075; B01J 13/00, C07F 1/08

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 252/308, 311, 314, 363.5; 424/ 70.1, 70.13, 70.17, 70.24; 514/188, 937; 546/2, 6

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS; PYRITHIONE, ZINC, SKIN CARE, SHAMPOO

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,P	US 5,650,095 A (HOSSEINI ET AL) 22 JULY 1997, see entire document.	1-21
Y	US 5,540,860 A (HOSSEINI ET AL) 30 JULY 1996, see entire document.	1-21
A	US 4,940,578 A (YOSHIHARA ET AL) 10 JULY 1990, see entire document.	1-11

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
A document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
B earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*A* document member of the same patent family
O document referring to an oral disclosure, use, exhibition or other means	
P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

03 JUNE 1998

Date of mailing of the international search report

22 JUL 1998

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Authorized officer

SHELLEY A. DODSON

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/06917

A. CLASSIFICATION OF SUBJECT MATTER:
US CL :

252/308, 311, 314, 363.5; 424/ 70.1, 70.13, 70.17, 70.24; 514/188, 937; 546/2, 6